

2. Financial Background (Continued) 財務狀況(續)

Occupation/Type of Business^{3*}

職業/業務性質^{3*}

Position

職位

Year(s) Employed

任職年期

Estimated Annual Income

估計年薪

Less Than 少於 HK\$200,000

HK\$200,001 - HK\$500,000

HK\$500,001 - HK\$1,000,000

HK\$1,000,001 - HK\$5,000,000

HK\$5,000,001 - HK\$10,000,000

Over 多於 HK\$10,000,000

Residence

住屋

Self-owned 自置物業

Mortgaged 按揭 (approx. net value less mortgage 估值扣除按揭:

HK\$ _____)

Rented 租賃

Living with Relative 與親戚同住

Company Quarters 公司宿舍

Others 其他

Approximate Net Asset Value⁵

淨資產值⁵

Less Than 少於 HK\$500,000

HK\$500,001 - HK\$3,000,000

HK\$3,000,001 - HK\$10,000,000

HK\$10,000,001 - HK\$50,000,000

Over 多於 HK\$50,000,000

3. Credit Reference 信貸參考

	Name of Bank/Broker or Dealer 銀行/經紀或交易商名稱	Type of Account 戶口類別	Account No. 戶口號碼
Bank Reference 銀行提述			
Other Broker or Dealer Reference 其他經紀或交易商提述			

4. Investment Objectives and Experience 投資目的及經驗

Investment Objectives

投資目的

Hedging 對沖

Speculation 投機

Capital Investment and Income 資本投資及收入

Others 其他 (please specify 請註明: _____)

Investment Experiences 投資經驗

<input checked="" type="checkbox"/>	Product 商品	Average Portfolio Value 平均貨值 HK\$	Year(s) 年份
<input type="checkbox"/>	Stock, Shares, Debentures or Other Securities 股票、股份、債權證及其他證券		
<input type="checkbox"/>	Leveraged Foreign Exchange 槓桿外匯		
<input type="checkbox"/>	Precious Metals 貴重金屬		
<input type="checkbox"/>	Futures/Option 期貨/期權		
<input type="checkbox"/>	Others (please specify: _____) 其他 (請註明: _____)		
<input type="checkbox"/>	Nil 沒有	N/A 不適用	N/A 不適用

Risk Tolerance

可承受風險

Low 低

Medium 中等

High 高

5. Not including place of residence, motor vehicles, furniture, personal belongings and any life insurance policies
不包括居住地方、汽車、傢俬、個人物品及人壽保險

5. Related Accounts 關聯戶口

Particulars of any and all other accounts (whether individual, joint, corporate, trustee) beneficially held, owned or operated by me including my spouse for or on my behalf or for any other person(s), body or corporation or in which I have an interest (whether direct or indirect) with CSC Securities (HK) Limited/ CSC Futures (HK) Limited or any of its subsidiaries or associated companies.

其他戶口詳情(無論個人、聯名、公司、託管)，本人包括配偶或本人代表他人、公司、有直接或間接利益在群益證券(香港)有限公司/群益期貨(香港)有限公司及其附屬或聯營公司由本人實益持有、擁有或運作。

Account Name 戶口名稱	Account Number 戶口號碼

6. Trading Authorization 交易授權

Will the account be traded by other person(s) on my/our * behalf? 帳戶會否授權第三者交易?

Yes 會⁵

Name of Authorized Representative

授權人姓名

Relationship with Authorized Representative

與授權人關係

No 否

7. Bank Account Information 銀行資料

All fund payable will be credited to my/our following bank account only.

請將款項存入以下本人/吾等之銀行賬戶。

Name of Bank (in English) 銀行名稱 (請以英文填寫)	Account Number 戶口號碼

8. Application for Electronic Trading Services 申請電子交易服務

I/We hereby request your Company to render Electronic Trading Services to me/us. I/We confirm that I/we have read, understood and accepted the Terms and Conditions set out in the Customer Trading Agreement (section under "Internet Trading"), and shall be bound by these terms that apply to me/our account as amended from time to time.

本人/吾等現要求 貴公司提供電子交易服務予本人/吾等。本人/吾等確認已詳閱、明白及接受於客戶交易協議內("網上交易"條款下)提及的條款及條件且本人/吾等將受不時修訂的條款所約束。

Hong Kong market only 香港市場

Taiwan market only 台灣市場

Hong Kong & Taiwan market 香港及台灣市場

9. Declaration 聲明

I/We hereby declare that I am/we are the ultimate beneficial owner(s) of the account.

本人/吾等現宣佈本人/吾等是該帳戶最終實益擁有人。

I/We am not the ultimate beneficial owner(s). Full name of the actual beneficiary(ies) of the account is/are:

本人/吾等並非最終實益擁有人。實際受益人全名為：

5. If Yes, please furnish signed Trading Authorization 若會，請提交交易授權書

6. Please provide copy of address proof issued within the last 3 months 請提供最近三個月之地址證明副本

9. Declaration (Continued) 聲明(續)

I confirm that I am not related to any of your directors, officers or employees.
本人確認與 貴公司任何董事、主任或職員無任何關連。

I am related to the following directors, officers or employees:
本人與 貴公司以下董事、主任或職員有關連。

Name 姓名	Company 公司	Relationship 關係


I confirm that I am **NOT** an employee or a consultant of Licensed Corporation or Registered Institution with the Securities and Futures Commission ("SFC") in Hong Kong.
本人確認本人**不是**香港證券及期貨監察委員會("證監會")持牌法團或註冊機構之職員或顧問。

I am an employee or a consultant of Licensed Corporation or Registered Institution with the SFC in Hong Kong, enclosed please find the **consent letter**.
本人是香港證監會持牌法團或註冊機構之職員或顧問，現附上**同意書**。

Name of Corporation 機構名稱	Type of Regulated Activity(ies) 受規管類別	CE No. 註冊編號

I hereby confirm that the information provided in this Customer Information Statement is complete and accurate in all respects. I hereby undertake and covenant with you to notify you in writing forthwith of any material change to that information. You are authorized to conduct credit enquiries to verify the information provided for the purpose of ascertain the financial situation and investment objectives of me.

本人確認本「客戶資料表格」中所載資料均屬完整及正確。本人承諾及保證倘若該等資料有任何重要變更，本人將會立即以書面通知 貴公司。本人特此授權 貴公司對本人之信用進行查詢，以核實本人之財政狀況及投資目標。



Signature of Individual/
First Joint Account Holder
個人/聯名帳戶第一戶口持有人簽署

Name
姓名

Date
日期



Other Joint Account Holder
聯名帳戶其他戶口持有人簽署

Name
姓名

Date
日期



Other Joint Account Holder
聯名帳戶其他戶口持有人簽署

Name
姓名

Date
日期